

01/17/02

Please type a plus (+) sign in this box → +

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

71
+
**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No.	S01.12-0815/STL 10262
First Inventor or Application Identifier	Srinivas Tadepalli et al.
Title	DISC DRIVE WITH GROOVES ON INTERNAL SURFACES
Express Mail Label No.	EV049898252US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)

2. Specification [Total Sheets 16]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 U.S.C. § 113) [Total Sheets 3]

4. Oath or Declaration [Total Sheets 2]
a. Newly executed (original or copy)
b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. Computer Readable Copy
b. Paper Copy (Identical to computer copy)
c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney
9. English Translation Document
10. Information Disclosure Statement (IDS/PTO – PTO) Copies of IDS
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
13. *Small Entity Statement(s) Statement filed in prior application.
(PTO/SB/09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Request and Cert. Under 35 USC 122 (Non-Pub)
16. Other: Our checks in the amount of
\$40.00 and \$794.00

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation Divisional Continuation –in part (CIP) of prior application No: _____

Prior application information: Examiner _____ Group/Art Unit: _____

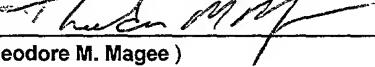
FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name	Theodore M. Magee WESTMAN CHAMPLIN & KELLY				
Address	Suite 1600 – International Centre 900 South Second Avenue				
City	Minneapolis	State	MN	Zip Code	55402-3319
Country	USA	Telephone	(612) 334-3222		Fax (612) 334-3312

Name (Print/type)	Theodore M. Magee		Registration No. (Attorney/Agent)	39,758
Signature	<i>Theodore M. Magee</i>		Date	1/17/02

FEE TRANSMITTAL		Complete if Known																																																																																																																	
		Application No.																																																																																																																	
		Filing Date		HEREWITH																																																																																																															
		First Named Inventor		Srinivas Tadepalli																																																																																																															
		Title		DISC DRIVE WITH GROOVES ON INTERNAL SURFACES																																																																																																															
		Group Art Unit																																																																																																																	
Examiner Name																																																																																																																			
Total Amount of Payment \$ 794		Atty. Docket Number S01.12-0815/STL 10262																																																																																																																	
METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																																																	
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th rowspan="2">Code</th> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For Filing a Request for Reexamination. (ex parte)</td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td>Extension for reply within second month</td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td>Extension for reply within third month</td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>128</td> <td>1,960</td> <td>280</td> <td>980</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> </tr> <tr> <td>148</td> <td>110</td> <td>248</td> <td>55</td> <td>Terminal Disclaimer Fee</td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to Revive - unavoidable</td> </tr> <tr> <td>141</td> <td>1,310</td> <td>241</td> <td>670</td> <td>Petition to Revive - unintentional</td> </tr> <tr> <td>142</td> <td>1,310</td> <td>242</td> <td>670</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> </tr> <tr> <td>143</td> <td>490</td> <td>243</td> <td>260</td> <td>Design issue fee (inc. advance copies)</td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> </tr> <tr> <td>126</td> <td>180</td> <td>126</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> </tbody> </table>				Code	Large Entity		Small Entity		Fee Paid	Fee	Fee	Fee	Fee	105	130	205	65	Surcharge - Late filing fee or oath	127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	139	130	139	130	Non-English specification	147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)	115	110	215	55	Extension for reply within first month	116	400	216	200	Extension for reply within second month	117	920	217	460	Extension for reply within third month	118	1,440	218	720	Extension for reply within fourth month	128	1,960	280	980	Extension for reply within fifth month	120	320	220	160	Filing a brief in support of an appeal	121	280	221	140	Request for oral hearing	148	110	248	55	Terminal Disclaimer Fee	140	110	240	55	Petition to Revive - unavoidable	141	1,310	241	670	Petition to Revive - unintentional	142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)	143	490	243	260	Design issue fee (inc. advance copies)	122	130	122	130	Petitions to the Commissioner	123	50	123	50	Petitions related to provisional applications	126	180	126	180	Submission of Information Disclosure Statement	581	40	581	40	Recording each patent assignment per property (times number of properties)
Code	Large Entity		Small Entity		Fee Paid																																																																																																														
	Fee	Fee	Fee	Fee																																																																																																															
105	130	205	65	Surcharge - Late filing fee or oath																																																																																																															
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet																																																																																																															
139	130	139	130	Non-English specification																																																																																																															
147	2,520	147	2,520	For Filing a Request for Reexamination. (ex parte)																																																																																																															
115	110	215	55	Extension for reply within first month																																																																																																															
116	400	216	200	Extension for reply within second month																																																																																																															
117	920	217	460	Extension for reply within third month																																																																																																															
118	1,440	218	720	Extension for reply within fourth month																																																																																																															
128	1,960	280	980	Extension for reply within fifth month																																																																																																															
120	320	220	160	Filing a brief in support of an appeal																																																																																																															
121	280	221	140	Request for oral hearing																																																																																																															
148	110	248	55	Terminal Disclaimer Fee																																																																																																															
140	110	240	55	Petition to Revive - unavoidable																																																																																																															
141	1,310	241	670	Petition to Revive - unintentional																																																																																																															
142	1,310	242	670	Utility/Reissue issue fee (inc. advance copies)																																																																																																															
143	490	243	260	Design issue fee (inc. advance copies)																																																																																																															
122	130	122	130	Petitions to the Commissioner																																																																																																															
123	50	123	50	Petitions related to provisional applications																																																																																																															
126	180	126	180	Submission of Information Disclosure Statement																																																																																																															
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																															
2. Check Enclosed																																																																																																																			
FEE CALCULATION																																																																																																																			
BASIC FILING FEE																																																																																																																			
Large Entity		Small Entity																																																																																																																	
Fee	Fee	Fee	Fee																																																																																																																
Code	(\$)	Code	(\$)	Fee Description																																																																																																															
101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee																																																																																																															
106	330	206	165	<input type="checkbox"/> Design Filing Fee																																																																																																															
108	740	208	370	<input type="checkbox"/> Reissue Filing Fee																																																																																																															
114	160	214	80	<input type="checkbox"/> Prov. Filing Fee																																																																																																															
Subtotal (1) \$ 740																																																																																																																			
2. EXTRA CLAIM FEES																																																																																																																			
	Number Claims	Prior**	Extra	Fee from Below	Fee Paid																																																																																																														
Total	23	20	3	18	54																																																																																																														
Indep.	3	3	0	84	0																																																																																																														
Multiple Dependent Claims																																																																																																																			
** Insert 3 and 20, or number previously paid if greater; Reissue see below																																																																																																																			
Large Entity		Small Entity																																																																																																																	
Fee	Fee	Fee	Fee	Description																																																																																																															
Code	(\$)	Code	(\$)																																																																																																																
103	18	203	9	Claims in excess of 20																																																																																																															
102	84	202	42	Independent claims in excess of 3																																																																																																															
104	280	204	140	Multiple Dependent Claims																																																																																																															
109	84	209	42	Reissue Independent Claims over Original Patent																																																																																																															
110	18	210	9	Reissue claims in excess of 20 and over original patent																																																																																																															
Subtotal (2) \$ 54																																																																																																																			
Subtotal (3) \$																																																																																																																			

Signature 
 (Theodore M. Magee)

Reg. No. 39,758

Date 1-17-2002

Deposit Account No. 23-1123